

Low Level Concerns Policy

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1. Principles

Guildhouse School London is committed to ensuring Low-Level Concerns (LLCs) are monitored, recorded, and dealt with appropriately to create a safer culture for all staff, students, and visitors. Guildhouse School London has a moral, legal, and social responsibility to provide a safe environment for students studying and residing with us.

2. Scope and References

This policy sets out good practice and provides guidance on how to deal with situations and put in safeguards where a low-level concern may be encountered to ensure the promotion of a safe culture and the prevention of possible harm. It will work in conjunction with all school policies and procedures, including, but not limited to, the following: Safeguarding Policy, UK-GDPR, Health and Safety, Prevent Strategy, and Safer Recruitment Policy.

3. Distinction between an allegation and a Low-Level concern

A culture encouraging the reporting of LLCs enables staff to share any concerns they may have, no matter how small, about the behaviour of any adult in the school. Concerns should not be limited to safeguarding but could relate to behaviour that does not meet the professional standards expected within CATS Global Schools.

The term 'allegation' means that it is alleged that a person who works with children has:

- behaved in a way that has harmed a child, or may have harmed a child;
- possibly committed a criminal offense against or related to a child;
- behaved towards a child or children in a way that indicates they may pose a risk of harm to children. An allegation can also relate to an adult's behaviour outside of work and their relationships with others if they:
- have behaved in a way in their personal life that raises safeguarding concerns. These concerns
 do not have to directly relate to a child but could, for example, include an arrest for the
 possession of a weapon;
- have, as a parent or carer, become subject to child protection procedures;
- are closely associated with someone in their personal lives (e.g., partner, family member, or other household member) who may present a risk of harm to children for whom the adult is responsible in their employment/volunteering.

A Low-Level Concern is any concern about an adult's behaviour towards a child that does not meet the allegation threshold set out above or is not otherwise serious enough to consider a referral to the LADO.

A Low-Level Concern is any concern – no matter how small, and even if no more than a 'nagging doubt' – that an adult may have acted in a manner which:





- is not consistent with an organisation's Code of Conduct, and/or
- relates to their conduct outside of work which, even if not linked to a particular act or omission, has caused a sense of unease about that adult's suitability to work with children.
 Examples of such behaviour could include, but are not limited to:
- being overly friendly with children.
- having favourites.
- taking photographs of children on their mobile phone, contrary to school policy.
- engaging with a child on a one-to-one basis in a secluded area or behind a closed door; or
- humiliating children.

All staff, including volunteers and contractors, will receive training on the Low-Level Concerns Policy during induction and through regular updates. Staff do not need to be able to determine in each case whether their concern is a Low-Level Concern or if it is serious enough to consider a referral to the LADO or meets the threshold of an allegation. Once staff share what they believe to be a Low-Level Concern, that determination should be made by the Headteacher.

4. Importance of sharing Low-Level concerns

It is necessary to ensure a culture of openness and trust is fostered within an organisation in which staff can share any concerns about the conduct of colleagues and be assured that these will be received in a sensitive manner. It is well documented that organisational child sexual abuse is often preceded by grooming, and that such conduct was observed and considered questionable. This could be targeted at children or vulnerable adults. This behaviour was rarely reported to the relevant individual in the organisation, was not recorded, and not available later for evaluation of patterns emerging. It is not possible for individuals to accurately judge people, as there is no one profile to describe everyone who abuses a child, so focus should be placed upon specific behaviours.

To minimise the risk of situational offending, there needs to be a culture of allowing the confidence to speak out. This requires a robust framework, policy, training, support, and leadership to facilitate this and a willingness to accept that abuse could happen in any organisation.

5. Implementation

To be effective, this policy must have 'buy-in' from all staff, and leaders must be seen to adhere to and model the expected values and behaviours as written in the policy.

Training on this policy will be incorporated in both the Safeguarding Induction Training for new staff and the Annual Safeguarding Training for all staff. Staff should complete the 'Low-Level Concern Form' in **Appendix 1** as fully as possible and pass it directly to the Headteacher, or in their absence the Chair of Governors, without informing the adult who is the subject of the form. If the concern is about the Headteacher, it should be passed to the Chair of Governors without informing the Headteacher.

When a Low-Level Concern has been raised by a third party, the Headteacher should collect as much evidence as is possible by speaking with the person who raised the concern, not the individual and any witnesses.





Any concerns or issues relating to the Low-Level Concern Policy will be included in the annual safeguarding report to governors.

6. Data Protection

The Data Protection Act 2018 makes specific provision for the processing of personal data necessary for safeguarding children from harm. The Information Sharing Code of Practice (Information Commissioner's Office 2019) specifically cites safeguarding of children as a 'clear example of a compelling reason' to share personal data. Where a concern is low-level, rather than an allegation, the balance between safeguarding interests and personal data rights will be considered carefully to ensure it is a reasonably necessary measure that the data should be shared.

How Low-Level concerns will be recorded

Low-Level Concern Forms and records will be retained (including those subsequently deemed to relate to behaviour that is entirely consistent with the Code of Conduct) in the school folder and logged in the central People & Engagement (P&E) area on SharePoint. Where there are multiple low-level concerns relating to the same individual, these will be kept in chronological order as a running record. These records will be kept confidential and held securely, with only the Headteacher and P&E team having access. The log will contain details of the concern, the context in which it arose, and action taken. The name of the person reporting should be noted while respecting wishes to remain anonymous as far as reasonably possible.

Where concerns also involve issues of misconduct or where such issues have caused poor performance, disciplinary, grievance, or whistleblowing procedures will be triggered, the normal records required will still be made and kept according to procedure in addition to the low-level concerns records.

Where the low-level concern is serious enough to be referred to the LADO (or in the case of local arrangements requiring all concerns to be reported to the LADO), the related records will be placed and retained on the staff member's employee file. If a low-level concern is reclassified as an allegation, then the records relating to it will be treated accordingly. London Child Protection Procedures require all low-level concerns to be evaluated in consultation with the LADO. Therefore, all low-level concerns will be evaluated in consultation with the LADO to ensure appropriate classification and response. The consultation process allows for concerns to be evaluated objectively and to ascertain whether or not similar concerns may have been raised by a previous employer but not met the threshold for investigation.

8. Review of the central Low-Level concerns file

The Safeguarding Governor will review the school Low-Level Concerns File termly. A record of these reviews will be made. This is to ensure such concerns are being dealt with promptly and appropriately and that any potential patterns of concerning, problematic, or inappropriate behaviour are identified, a course of action can be decided and carried out. This might involve internal disciplinary procedures or referral to the LADO if the harms threshold is met. It will also be considered whether there are any wider cultural issues in school that enabled the behaviour to occur. If appropriate, policies would be revised or additional training delivered to minimize the risk of recurrence. The rationale for all decisions and actions taken will be recorded.





9. Retention of Low-Level concern records

There is currently no guidance on the retention of Low-Level Concerns, but the point at which an employee leaves the organisation would be considered a natural point at which the content of the file may be reviewed to ensure it still has value (either as a safeguarding measure or because of its possible relevance to future claims) and is therefore necessary to keep.

Should Low-Level Concerns be referred to in a reference?

With reference to KCSIE guidance, only substantiated safeguarding concerns that meet the threshold for referral and are not deemed malicious or false may be included in references. Likewise, a history of repeated concerns which have all been found to be false, unsubstantiated, or malicious should also not be included in any reference. Misconduct or consistent poor performance, where relevant, may be included. This would not normally include Low-Level Concerns of a safeguarding nature unless the threshold is met for referral and found to be substantiated, where it should then be referred to in a reference. Where KCSIE does not apply, consideration must be given to legal obligations and duty of care in giving accurate references.

Role of the board

The Designated Safeguarding Leads (DSLs) will include in the Quarterly Reports to the Board information about the implementation of the Low-Level Concern Policy and any evidence as to its effectiveness, along with relevant data. Additionally, the Board will consider trends or patterns identified in LLC reports and participate in safeguarding culture audits as necessary. The Board will review patterns in LLCs and ensure continuous improvement.





Appendix 1

LOW LEVEL CONCERN FORM

☐ Accident	☐ Incident	☐ Safeguarding Concern						
Date of incident:	Date of incident:							
Name, date of birth and	details of the person(s)	the report is being made about:						
Account of incident or concern: (give clear details relating to what happened,								
where, when, who was involved, what was said, nature of injury or behaviour, any								
witnesses etc (keep it factual).								
Action taken:								
Name of person making	the report:							
Signed:								
Date:								
ADMIN USE ONLY								
Headteacher:								
Signed:								
Date:								
Further action taken:								





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Managed and overseen by the Headteacher in liaison with the DSL

Low-Level Concerns Log



Date of Concern	Name of Subject(Staff)	Nature of Concern	Action Taken	LLC/Allegation	Outcome	Notes





